

ELC Expansion Plan - Finance Template

Introduction

Local authority name Midlothian Council

ELC Expansion Plan - Lead contact

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Finance Template - Lead contact

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Local Authorities are asked to complete this Finance Template and submit it alongside their ELC Expansion Plans which are due to be sent to Scottish Government by close on Friday 29 September 2017.

This proforma is intended to capture, in a nationally consistent manner, Local Authorities' estimated revenue and capital costs associated with the expansion.

It is expected that Local Authorities will have prepared separate detailed costings to aid their planning process. Local Authorities are welcome to submit these workings alongside this proforma. This is not a requirement.

A guidance note has been issued alongside this template to aid completion. Tips are also embedded as comments throughout this workbook.

Queries relating to the Finance Template can be directed to any member of the ELC Delivery Support team through forthcoming workshops or sent by email to ELCDeliverySupport@gov.scot.

Key assumptions

* All revenue costs should be calculated at 2017 prices - inflationary assumptions will be applied nationally.

* You do not need to account for the impact of the Living Wage on funded provider rates from 2020 - this calculation will be made and applied nationally. You should instead use a rate which reflects a sustainable funding settlement for all providers, excluding the Living Wage.

* Cost information should reflect your best estimates on the basis of information available to you. We appreciate that cost profiles may change over the remainder of the programme.

* Shaded cells require your input - blank cells will calculate automatically.

Notes on assumptions / completion

	FTEs						Unit cost per annum (£)						Costs (£m)					
	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22
Staff in post - LA settings																		
Managers (settings)			3.6	6.5	8.0	8.0			47,592	49,016	49,016	50,591	0.000	0.000	0.173	0.319	0.392	0.405
Teachers	37.0	15.0	15.0	15.0	15.0	15.0	43,030	45,956	45,956	45,956	45,956	45,956	1.592	0.689	0.689	0.689	0.689	0.689
Senior practitioners / graduates	27.1	40.5	38.9	45.0	47.1	47.6	33,829	38,694	45,758	45,647	45,588	45,599	0.916	1.568	1.780	2.054	2.147	2.169
Additional graduates													0.000	0.000	0.000	0.000	0.000	0.000
Practitioners	50.0	66.1	177.5	224.8	240.8	243.8	29,992	30,782	33,200	32,936	32,841	32,860	1.500	2.036	5.894	7.404	7.907	8.011
Apprentices		4.0	11.0	18.0	25.0	25.0		26,880	26,880	26,880	26,880	26,880	0.000	0.108	0.296	0.484	0.672	0.672
C2 care workers / support staff (SSSC registered)	7.2	7.2	8.4	9.8	11.4	11.4	22,628	24,018	24,018	24,018	24,018	24,018	0.163	0.173	0.202	0.236	0.275	0.275
Admin / auxiliary staff (non-SSSC registered)	1.2	1.2	1.2	1.2	1.2	1.2	24,615	26,880	26,880	26,880	26,880	26,880	0.030	0.033	0.033	0.033	0.033	0.033
Others (please specify)																		
Head Teachers	2.0	2.0	2.0	2.0	2.0	2.0	64,557	66,363	66,363	66,363	66,363	66,363	0.129	0.133	0.133	0.133	0.133	0.133
Sub total	124.5	136.1	257.7	322.4	350.5	354.0	218,651	259,573	316,647	317,696	317,542	319,147	4.330	4.740	9.199	11.351	12.248	12.386
Staff in training - LA settings																		
Managers (settings)													0.000	0.000	0.000	0.000	0.000	0.000
Teachers													0.000	0.000	0.000	0.000	0.000	0.000
Senior practitioners / graduates													0.000	0.000	0.000	0.000	0.000	0.000
Additional graduates													0.000	0.000	0.000	0.000	0.000	0.000
Practitioners													0.000	0.000	0.000	0.000	0.000	0.000
Apprentices													0.000	0.000	0.000	0.000	0.000	0.000
C2 care workers / support staff (SSSC registered)													0.000	0.000	0.000	0.000	0.000	0.000
Admin / auxiliary staff (non-SSSC registered)													0.000	0.000	0.000	0.000	0.000	0.000
Others (please specify)																		
Sub total	0.0	0.0	0.0	0.0	0.0	0.0	0	0	0	0	0	0	0.000	0.000	0.000	0.000	0.000	0.000
Staff in post - Central costs																		
Managers (central)	1.6	1.6	1.7	1.7	1.8	1.8	68,124	71,101	74,212	76,878	72,320	72,320	0.109	0.114	0.124	0.133	0.130	0.130
Quality assurance - teachers													0.000	0.000	0.000	0.000	0.000	0.000
Quality assurance - other													0.000	0.000	0.000	0.000	0.000	0.000
Improvement support - teachers													0.000	0.000	0.000	0.000	0.000	0.000
Improvement support - others													0.000	0.000	0.000	0.000	0.000	0.000
Others (please specify)																		
QI Officer	1.0	1.0	1.0	1.0	1.0	1.0	66,511	67,267	67,267	67,267	67,267	67,267	0.067	0.067	0.067	0.067	0.067	0.067
EY P'ship Imp + Data Officer	1.0	1.0	1.0	1.0	1.0	1.0	43,339	44,745	44,745	44,745	44,745	44,745	0.043	0.045	0.045	0.045	0.045	0.045
PT	1.0	1.0	1.0	1.0	1.0	1.0	58,365	59,039	59,039	59,039	59,039	59,039	0.058	0.059	0.059	0.059	0.059	0.059
EY Peep Co-ordinator	0.6	0.6	0.6	0.6	0.6	0.6	32,950	33,982	33,982	33,982	33,982	33,982	0.019	0.020	0.020	0.020	0.020	0.020
Teacher	1.0	1.0	1.0	1.0	1.0	1.0	42,301	42,814	42,814	42,814	42,814	42,814	0.044	0.044	0.044	0.044	0.044	0.044
EY DO	0.7	0.7	0.7	0.7	0.7	0.7	49,033	50,637	50,637	50,637	50,637	50,637	0.033	0.034	0.034	0.034	0.034	0.034
Childcare & Workforce DO	0.9	0.9	0.9	0.9	0.9	0.9	43,339	44,745	44,745	44,745	44,745	44,745	0.039	0.040	0.040	0.040	0.040	0.040
Ed Resource Officer	1.0	1.0	1.0	1.0	1.0	1.0	48,989	50,591	50,591	50,591	50,591	50,591	0.049	0.051	0.051	0.051	0.051	0.051
Admin			1.5	1.5	1.5	1.5		29,007	29,007	29,007	29,007	29,007	0.000	0.000	0.044	0.044	0.044	0.044
Applications Officer	0.2	0.2	0.3	0.4	0.5	0.5	43,339	44,745	44,745	44,745	44,745	44,745	0.009	0.009	0.013	0.018	0.022	0.022
Sub total	9.0	9.0	10.6	10.8	11.0	11.0	496,290	509,666	541,784	544,450	539,892	539,892	0.469	0.482	0.540	0.554	0.556	0.556
Other staff costs																		
Training and professional development													0.058	0.093	0.093	0.093	0.093	0.093
Sub total													0.058	0.093	0.093	0.093	0.093	0.093
TOTAL STAFF COSTS																		
													4.857	5.315	9.833	11.998	12.897	13.035

	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	Costs (£m)						
	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	
Local authority staff costs													
	FTEs												
Staff in post - LA settings	124.5	136.1	257.7	322.4	350.5	354.0	4.330	4.740	9.199	11.351	12.248	12.386	
Staff in training - LA settings	0.0	0.0	0.0	0.0	0.0	0.0	0.000	0.000	0.000	0.000	0.000	0.000	
Staff in post - Central costs	9.0	9.0	10.6	10.8	11.0	11.0	0.469	0.482	0.540	0.554	0.556	0.556	
Other staff costs							0.058	0.093	0.093	0.093	0.093	0.093	
Sub total	133.5	145.1	268.3	333.2	361.5	365.0	4.857	5.315	9.833	11.998	12.897	13.035	
Funded (partner) provision													
	Hours												
Nurseries	467946	512445	0	0	746546	1026788	1.941	2.135	0.000	0.000	3.967	5.435	
Childminders	2995	3408	0	0	7687	9896	0.018	0.020	0.000	0.000	0.046	0.059	
Partner provider non-statutory	0	0	0	0	0	0	0.000	0.000	0.000	0.000	0.000	0.000	
0	0	0	0	0	0	0	0.000	0.000	0.000	0.000	0.000	0.000	
0	0	0	0	0	0	0	0.000	0.000	0.000	0.000	0.000	0.000	
0	0	0	0	0	0	0	0.000	0.000	0.000	0.000	0.000	0.000	
Sub total	470941	515853	0	0	754232	1036684	1.959	2.155	0.000	0.000	4.013	5.495	
Lunches													
Cost of provision							0.000	0.000	0.000	0.000	1.862	1.941	
Running costs													
Educational resources and supplies							0.025	0.025			0.068	0.068	
Administrative resources and supplies							0.003						
Buildings - rent and rates							0.228	0.246			0.246	0.246	
Buildings - energy / utilities costs							0.148	0.166			0.166	0.166	
Buildings - maintenance and lifecycle replacement							0.142	0.140			0.140	0.140	
Buildings - facilities management							0.022	0.032			0.032	0.032	
Buildings - other													
Information systems													
Others (please specify)													
Refuse Uplift							0.011	0.018	0.018	0.018	0.018	0.018	
Sub total							0.579	0.626	0.018	0.018	0.669	0.669	
Wraparound hours													
Estimated revenue							0.000	0.000	0.000	0.000	0.000	0.000	
TOTAL							7.396	8.096	9.851	12.016	19.441	21.139	

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Supporting information
Midlothian Council

Flexibility Assumptions for Local Authority Settings

Number of Local Authority ELC Settings
 Estimated number of local authority settings operating year round
 Local Authority settings operating year round (%)
 Estimated number of hours per annum provided in local authority settings operating year round
 Estimated number of local authority settings operating term time only
 Local Authority settings operating term time only (%)
 Estimated number of hours per annum provided in local authority settings operating term time only
 Number of local authority settings with opening hours of 8am to 6pm (or longer)
 Local Authority settings with opening hours of 8am to 6pm (or longer) (%)
 Estimated number of hours per annum provided in local authority settings operating 8am to 6pm (or longer)

	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22
	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
	0	0	0	0	0	0
	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!

Additional Support Needs (ASN)

Estimated proportion of eligible 2 year olds with ASN (%)
 Estimated number of 2 year olds in local authority settings with ASN
 Estimated proportion of 3&4 year olds with ASN (%)
 Estimated number of 3&4 year olds in local authority settings with ASN
 Estimated proportion of 5 year olds (deferrals) with ASN (%)
 Estimated number of 5 year olds (deferrals) in local authority settings with ASN

	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22
	0	0	0	0	0	0
	0	0	0	0	0	0
	0	0	0	0	0	0

Cross Boundary Flows

Estimated cross boundary inflows
 Estimated cross boundary outflows
Estimated net cross boundary inflow / (outflow)

	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22
	0	0	0	0	0	0

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Capital costs profile

Midlothian Council

Anticipated Total Capital Cost for All Projects (£m)

0

Annual Profile

	Costs (£m)					Total
	2017-18	2018-19	2019-20	2020-21	2021-22	
Refurbishment projects	0.000	0.832	0.731	1.754	0.000	3.316
Extension projects	1.914	2.144	1.531	1.302	0.000	6.890
New build projects	0.000	0.000	8.352	5.011	2.088	15.451
Outdoor spaces	0.000	0.000	0.020	0.000	0.000	0.020
Other						0.000
Total	1.914	2.975	10.634	8.067	2.088	25.678

CHECK

1. Introduction

Notes fields are locked so all notes are on this sheet

2. Input table

Number of meals calculated taking account of 38 and 50 week models and average estimated takeup of 4 meals per week.

Meals cost does not include additional expansion costs such as drivers and vans, crockery etc. This could run to tens of thousands .

No 2yo cross border placements. We have data on 3, 4 & 5 yo inward current cross boundary numbers but not on outward therefore have recorded this this as 0. Increasing the hours may reduce the demand for cross border places.

We do not distinguish deferrals from 3 and 4 year old provision when calculating staffing costs etc. therefore the figures are all-inclusive.

	Number of funded registrations					Total
	Under 2	2 year olds	3 year olds (ante-pre)	4 year olds (pre-school)	5 year olds (Deferred)	
Local authority settings	0	0	836	965	82	1883
Partner provider settings	7	152	347	264	17	787
Childminding services		5	0	0	0	5
Total	7	157	1183	1229	99	2675

Deferrals:

	2013/14	2014/15	2015/16	2016/17	2017/18
Jan/Feb DOB	76	85	60	82	75
Sept - Dec DOB	18	7	20	19	22
Total	94	92	80	101	97

Partner Providers and Childminders paid at £5 per hour for pilots, final rate to be determined.

3. Staffing costs profile

At this time the proportion of staff who will be in training has not been determined.

4. Revenue costs profile

Figures for rent and rates, energy, utilities, maintenance and lifecycle replacement and facilities management have been brought forward at current costs as estimating the future costs will require individual assessment of each setting, the changed proportion of school pupils to ELC children, the changed proportion of floor area allocated between the school and ELC, more energy efficient new build or refurbished spaces etc.