

Appendix 1 Internal Audit Recommendations – Overdue Actions

As at 18 December 2023

Audit Recommendation (Risk Rating)	Rating	Due Date	Progress	Service
2022/23 - Construction Projects - Substantial Assurance				
Appropriate service risk registers should be established in the Pentana Performance System for the Development Team.	Low	30-Sep-2023	0%	Place
2023/24 - Licensing - substantial for the processing of applications and evidence compilation. Assurance is limited for the governance arrangements in place to support the licensing activity and the ongoing risk-based monitoring arrangements.				
There is a need to clarify some aspects of the roles and responsibilities for Protective Services and the Clerk of the Licensing Board.	Low	31-Oct-2023	10%	Place
Application forms should be updated with the Council's Privacy Policy and should be available online for all licences.	Low	31-Oct-2023	25%	Place