

Appendix 1 MIJB Internal Audit Recommendations – Progress Report

Audit Recommendation (Risk Rating)	Original Due Date	Progress	Revised Due Date	Service
2015/16 - Internal Audit: Midlothian Integration Board Strategic Planning				
The IJB should support the development of the NHS Lothian's Hospitals Strategic Plans and use available consultation opportunities to promote the actions required to deliver the Midlothian IJB Strategic Plan. (Low)	31-Mar-2017	60%	31-Mar-2020	Health and Social Care
2017/18 - Performance Targets and Reporting - IJBs				
KPIs should be stated for all objectives within the IJBs' key plans, including the plans for older people, learning disabilities, physical disabilities, mental health, and alcohol & drug misuse. These KPIs should then be approved by each IJB board. (Medium)	31-Jul-2018	30%	31-Dec-2020 (was 31-Dec-2019)	Health and Social Care
The IJB chief officer will agree with the IJB board what the key high level plans are. For each of these plans, the chief officer will ensure that all key actions are phrased as SMART objectives. These objectives will then be approved by the IJB board. (Medium)	31-Jul-2018	40%	31-Dec-2020 (was 31-Mar-2020 and 31-Mar-2019)	Health and Social Care
2018/19 - Chief Internal Auditor's Annual Assurance Report and Opinion 2018/19 for Midlothian Health and Social Care Integration Joint Board				
Linkages within the Strategic Plan should clearly relate local objectives to national objectives. Similarly linkages between Directions issued by the MIJB and the Delivery Plan or supporting detailed action plans should be also be established. (Medium)	31-Oct-2019	40%	31-Mar-2020	Health and Social Care
The Delivery Plan should contain more detail regarding action to be taken in terms of what is to be done as well as how, by when and by whom it is to be achieved. How outcomes are to be measured should also be defined. (Medium)	31-Oct-2019	40%	31-Mar-2020	Health and Social Care
The MIJB should develop a Medium Term Financial Plan. (Medium)	31-Oct-2019	100%		Health and Social Care
Performance measures in the MIJB's Performance Management Framework should be more appropriately aligned to key priorities and outcomes of its Strategic Plan. (Medium)	31-Oct-2019	0%	31-Dec-2020	Health and Social Care