

Midlothian Integration Joint Board Audit and Risk Committee



Midlothian IJB Risk Register – Q4 2023/24

7th March 2024

Item number: 5.3

Executive summary

This report presents the IJB's Risk Register for Q4 2023/24. The risk register has been reviewed and amendments made, these are detailed below. At its meeting on 7th December 2024 the committee agreed to move its risk register onto the Datix system which is managed by NHS Lothian. The extract attached to this paper is from Datix along with appendices laying out Datix Impact and Consequences and the Datix Risk Matrix, the latter two being for the committee's information. Three risks are now categorised as 'very high' all being a function of the severe financial challenges that the IJB and its partners are facing in 2024/25.

Committee members are asked to:

- 1. Note the Q4 Risk Register.*
- 2. Consider if any further additions or changes should be made to the risk register.*

Report

1. Purpose

- 1.1 This report presents the IJB's Q4 risk register for discussion by the committee.

2. Recommendations

- 2.1 Committee members are asked to
- Note the Q4 risk register.
 - Consider if any further additions or changes should be made to the risk register.

3. Background and main report

- 3.1 The IJB maintains a risk register in line with best practice. This register lays out the risks to the operation of the IJB – that is risks to the development of the Strategic Plan and the delivery of that plan through directions to its partners.
- 3.2 The register was last presented to the committee at its December '23 meeting and has now been reviewed and updated to reflect the current position.
- 3.3 At its last meeting the committee agreed to move the management of the IJB's risk register onto the Datix system which is the risk management system used by NHS Lothian. This has now been done.
- 3.4 The updated risk register is attached as appendix 1. It should be noted that this is an extract from Datix and that there is a range of further information embedded in the Datix system which does not form part of this extract. It may be that members could consider having a further briefing around the use and workings of Datix.
- 3.5 Appendices 2 and 3 lay out the impact and consequences and risk rating descriptions used by Datix and are for information.
- 3.6 Risks 5771 'Strategic Commissioning Plan', 5772 'Issuing of Directions' and 5774 'Financial Sustainability' are noted as 'very high'. This risk level is driven by the current financial settlements that the IJB's funding partners are experiencing and the concomitant impact on both the partner's delivery of the IJB's Strategic Plan and financial challenges to the goals of the Strategic Plan arising from financial challenges within the IJB's own budget.
- 3.7 It should be noted that the review dates are all the same (April 2024). The IJB is discussing with its partners how it monitors the delivery of the financial recovery

programmes in 2024/25. This will impact on these very high risks and these dates will be reviewed and presented to the next meeting of the A&R.

4. Policy Implications

4.1 There are no further policy implications arising from this report.

5. Equalities Implications

5.1 There are no equalities implications arising from this report

6. Resource Implications

6.1 There are no resource implications arising from this report.

7 Risks

7.1 The risks are described in the risk register which is attached

8 Involving People

8.1 There are no direct implications for involving people as a result of this report.

9 Background Papers

9.2 None

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Appendix 1 – Risk Register – Q4 2023/24

Appendix 2 – Datix – Impact and Consequences descriptors

Appendix 3 – Datix – Likelihood and Risk Matrix descriptors.