

Q1 2024/25 Risk Register Extract															
ID	Risk Owner	Risk Handler	Title	Description	Controls in place	Residual Risk				Target Risk				Date opened	Date Risk reviewed
						Consequence (Current)	Likelihood (Current)	Rating (Current)	Risk Level (Current)	Consequence (Target)	Likelihood (Target)	Rating (Target)	Risk Level (Target)		
5771	Chief Officer	Integration Manager	Strategic Commissioning Plan	<p>Risk Effect: Partners operational services may experience disruption to service delivery.</p> <p>Risk Consequence: The IJB may not see sufficient or adequate progress towards its 6 strategic aims and delivering its strategic plan.</p>	<p>Reassurance is required from partners regarding the following</p> <ol style="list-style-type: none"> 1. Resilience planning and Business continuity 2. Contract monitoring 3. Governance and Assurance 4. Outcomes for people and communities 	Major	Almost Certain	20	Very High	Minor	Possible	6	Medium	26/02/2024	27/05/2024
5772	Chief Officer	Integration Manager	Issuing of Directions	<p>Risk Effect: There is a risk that the IJBs partners have insufficient workforce or other resources to execute the requirements and ambitions of the IJB Directions</p> <p>Risk Consequence: The IJB cannot deliver on its strategic plan or financial plan.</p>	<p>Directions are monitored and reported on twice a year for performance updates.</p> <p>financial allocations are included within directions on an annual basis after IJB budgets have been set</p> <p>The Planning, Performance and Programme team continue to support with additional capacity to redesign and transform key areas of service delivery</p> <p>A project management approach continues to support key areas aiming to accelerate progress in the delivery of the MIJB Directions.</p>	Extreme	Almost Certain	25	Very High	Moderate	Possible	9	Medium	26/02/2024	27/05/2024
5773	Chief Officer	Executive Business Manager	Category 1 Responder Status	<p>Risk Effect: IJBs role as Category 1 responders under the Civil Contingencies Act requires that our partners NHS Lothian and Midlothian Council maintain effective business continuity and resilience plans to ensure an appropriate response in times of emergency. There is a risk that our partners may not be able to provide these assurances.</p> <p>Risk Consequence: Failure to maintain up to date and effective resilience plans could result in services unable to maintain essential service delivery</p>	<p>Assurance reporting to IJB routinely by Chief Nurse, Chief Social Worker and Resilience.</p> <p>Annual category 1 assurance report submitted to IJB via A&R committee</p> <p>Robust operational process within Midlothian Health and Social Care to meet requirements</p> <p>Lessons learnt from COVID pandemic have been incorporated within standard service delivery, ongoing work to develop a quality management approach will provide additional partnership wide assurances to IJB.</p>	Moderate	Unlikely	6	Medium	Minor	Unlikely	4	Medium	26/02/2024	27/05/2024
5774	Chief Officer	Chief Finance Officer	Financial Sustainability	<p>Risk Effect: The IJBs partners may not be able to allocate sufficient resource to deliver its Financial Plan and Strategic Plan.</p> <p>Risk Consequence: The IJB may not be able to deliver the Strategic Plan in order to function within the available resources. The IJB may have to amend the ambitions of Strategic in such a way that the progress towards the 6 strategic aim of the Strategic Plan and 9 National Health and Wellbeing Outcomes is compromised.</p>	<p>Chief Finance Officer responsible for the governance, appropriate management of finance and financial administration of the IJB.</p> <p>Strong budgetary control systems in place within NHS Lothian and Midlothian Council.</p> <p>IJB Medium term financial plan developed annually. Working in partnership in the financial environment with Midlothian Council and NHS Lothian.</p> <p>IJB Set a balanced budget at its March 2024 meeting</p>	Extreme	Almost Certain	25	Very High	Moderate	Possible	9	Medium	26/02/2024	27/05/2024
5775	Chief Officer	Chief Finance Officer	Impacts of New Legislation	<p>Risk Effect: There is a risk of further legislation, policy, or national improvement drivers which impact on the IJBs ability to deliver on the Strategic Plan, examples include the legislation relating to a National Care Service and the Health and Care (Staffing) (Scotland) Act 2019.</p> <p>Risk Consequence: The IJB and its partners may be distracted from the business of delivering the Strategic Plan. Legislation, policy, and national improvement drivers without fiscal supports will result in additional pressure on the IJB.</p>	<p>Respond to Scottish Government information requests on impact of future legislation, policies, and national improvement drivers</p> <p>Horizon scanning for policy developments through partners and SMT network groups</p> <p>Close working relations with Scottish Government through Chief Finance Officer, Chief Officer and COSLA.</p>	Major	Possible	12	High	Moderate	Possible	9	Medium	26/02/2024	27/05/2024