

# Midlothian Integration Joint Board Audit and Risk Committee



**3 September 2020 at 2pm**

## **Midlothian Health and Social Care Integration Joint Board Internal Audit Report on Performance Management**

<b>Item number:</b>	<b>5.6</b>
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### **Executive summary**

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The purpose of this report is to present to the Midlothian IJB Audit and Risk Committee Internal Audit's report on Performance Management arrangements in place within Midlothian Health and Social Care Integration Joint Board (MIJB)

**The Midlothian IJB Audit and Risk Committee is therefore asked to consider the Midlothian Health and Social Care Integration Joint Board Internal Audit Report on Performance Management (Appendix 1), and findings contained therein, and to provide any commentary thereon.**

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## Midlothian Health and Social Care Integration Joint Board Internal Audit Report on Performance Management

### 1 Purpose

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- 1.1 The purpose of this report is to present to the Midlothian IJB Audit and Risk Committee the Midlothian Health and Social Care Integration Joint Board Internal Audit Report on Performance Management.

### 2 Recommendations

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- 2.1 Members of the MIJB Audit and Risk Committee are asked to consider the Midlothian Health and Social Care Integration Joint Board Internal Audit Report on Performance Management (Appendix 1), and findings contained therein, and to provide any commentary thereon.

### 3 Background and main report

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- 3.1 Performance management is a key component in underpinning delivery of the MIJB's strategic priorities. The findings and conclusions of the Internal Audit review on MIJB performance management arrangements in place are contained in Appendix 1.
- 3.2 Internal Audit will continue to liaise with MIJB Management on the progress with refinements and developments on the Performance Management Framework. This will be used to inform the Chief Internal Auditor's Annual Assurance Report 2020/21 on the adequacy of the arrangements for risk management, governance and internal control by the MIJB of the delegated resources.

### 4 Policy Implications

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- 4.1 The Public Bodies (Joint Working) (Scotland) Act 2014 (the Act) is intended to ensure that health and social care services in Scotland are well integrated, so that people receive the care they need at the right time and in the right setting, with a focus on community-based, preventative care.
- 4.2 The Midlothian Integration Joint Board (MIJB), established as a separate legal entity as required by the Act, is responsible for the strategic planning and commissioning of a wide range of integrated health and social care services across the Midlothian partnership area, based on resources which have been delegated to it by the partners, Midlothian Council and NHS Lothian.

- 4.3 The MIJB is therefore expected to operate under public sector good practice governance arrangements which are proportionate to its responsibilities to ensure the achievement of the objectives of Integration. The establishment of robust performance management arrangements is one of the key components of good governance and will be critical to the capacity of the MIJB to function effectively.

## 5 Equalities Implications

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- 5.1 There are no direct equalities implications arising from this report.

## 6 Resource Implications

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- 6.1 There is a commitment to provide Internal Audit resource to the Midlothian Health and Social Care Integration Joint Board (MIJB) for review of the adequacy of the arrangements for risk management, governance and internal control by the MIJB of the delegated resources.
- 6.2 The Internal Audit service is provided by Midlothian Council's Internal Audit team, which has a shared service arrangement with Scottish Borders Council including the appointed MIJB Chief Internal Auditor, with support from NHS Lothian Internal Audit team. This specific audit engagement was carried out by the former.

## 7 Risk

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- 7.1 The PSIAS require Internal Audit to evaluate the effectiveness of the Council's Risk Management arrangements and contribute to improvements in the process.
- 7.2 The establishment of robust performance management arrangements is one of the key components of good governance without which the MIJB is unlikely to function effectively. It is anticipated that improvements in the management and mitigation of risks will arise as a direct result of Management implementing the Internal Audit recommendations made in previous years relating to Performance Management.

## 8 Involving people

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- 8.1 The Internal Audit report on Performance Management has been discussed with the MIJB Chief Officer, Chief Finance Officer and Integration Manager.

## 9 Background Papers

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- 9.1 Midlothian IJB Internal Audit Annual Plan 2020/21 (approved by the MIJB Audit and Risk Committee on 5 March 2020).

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