

Midlothian Integration Joint Board Audit and Risk Committee



2 December 2021, 2pm

Risk Register

Item number: 5.3

Executive summary

The Integrated Joint Board (IJB) Audit and Risk Committee maintains a strategic risk profile which is regularly scrutinised. However, it is important that Midlothian IJB is kept informed of its key risks and the actions undertaken to manage these risks. This report contains the strategic risk profile covering Quarter 2 2021/22, 1 July 2021 – 30 September 2021.

Committee members are asked to:

1. Consider the strategic risk profile and current response to the issues, risk and opportunities.

Risk Register

1 Purpose

- 1.1 This includes the Quarter 2 2021/22, 1 July 2021 – 30 September 2021 and highlights current issues, future risks and opportunities of note to the IJB.

2 Recommendations

- 2.1 As a result of this report what are Members being asked to:-
- Consider the strategic risk profile especially those issues and risks highlighted in the covering report.

3 Background and main report

- 3.1 The IJB's Audit and Risk Committee regularly scrutinises the strategic risk profile and reports back to the IJB quarterly.
- 3.2 The Quarter 2 2021/22, 1 July 2021 – 30 September 2021 presents the issues, future risks and opportunities for the IJB.
- 3.3 The Quarter 1 2021/22 related actions are included within the strategic risk profile to illustrate the steps taken over the period into Quarter 2, 2021/22.
- 3.4 The most significant issues and risks on the MIJB strategic risk profile during Quarter 2 are as follows:-

Issues

Use of Acute Hospital beds

Services to support people who have a long term condition to stay well at home continued to operate in order to support as many people as possible to avoid hospital visits. Additional reviews and redesign of Intermediate Care and Care at Home Services. The introduction of the Single Point of Access in December has had a positive impact on people delayed in hospital unnecessarily and this work continues.

Representatives of Midlothian Health and Social Care Partnership continue to participate in the RIE Hospital Management Team and the unscheduled care board, along with more specific forums such as the Redesign of the Front Door.

The Winter Plan is in place and has been presented at IJB Board. Substantial investment has been made in winter specific test of change projects to assist with the reduction of presentation and admission to acute. These will be reviewed and assessed for impact as winter progresses.

Care homes

All Midlothian care homes have been migrated on to the new regional NSS testing portal in line with guidance from Scottish Government. This continues weekly PCR tests along side twice weekly lateral flow testing. Care Homes continue to be monitored through Mid HSCP and NHSL Care Home Assurance Groups. Clinical and care support continues to be provided by Care Home Support Team to all Midlothian Care Homes.

COVID-19

Business continuity plans are in place to mitigate risks for local lockdowns/outbreaks. Resilience and Business Continuity plans are in place for both business as usual management and winter specific planning.

Further financial support has now been provided by the Scottish Government (for Covid) so the IJB is currently forecasting a break-even position in 21/21 albeit on the back of significant Covid Funding. The financial risk is now in 22/23 and will be considered as part of the 22/23 financial planning process (which is already underway).

Additional resources have been allocated to further reinforce the vaccination programme. Due to Lothian wide workforce limitations, this continues to be a challenge and is being managed nationally alongside Scottish Government. Midlothian HSCP is expanding the vaccination programme in line with Scottish Government guidance.

Risk

Balancing the budget in future years

The IJB has set a budget for 2021/22 and issued directions to its Partners. The 21/22 out-turn forecast is a break-even position for the IJB and this will be reported at the December IJB meeting. Work continues to develop the IJB's longer term financial plan with an IJB workshop Scheduled for January 2022.

Emerging Risk

Scottish Government consultation was published on 9th August 2021 on proposals to improve the way social care is delivered, entitled: National Care Service for Scotland: Consultation. Potential risks are yet to be assessed.

4 Policy Implications

4.1 There are no new policy issues raised in this paper.

5 Directions

5.1 This report does not require a new direction.

6 Equalities Implications

6.1 There are no direct equalities implications arising from this report.

7 Resource Implications

7.1 There are no direct resource implications associated with this report.

8 Risk

8.1 The risks relevant to the business of the IJB are set out within the attached strategic risk profile.

9 Involving people

9.1 The IJB meetings are held in public and IJB papers along with those of the IJB Audit and Risk committee are available on the internet. This work is supported by the IJB's Chief Internal Auditor and the partnership's risk management.

10 Background Papers

10.1 None.

AUTHOR'S NAME	Derek Oliver
DESIGNATION	Chief Officer - Place
CONTACT INFO	derek.oliver@midlothian.gov.uk
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Appendices:

1. Strategic Risk Profile Quarter 2 2021/22