

Corporate Risk Register, Quarterly Update

Report by Gary Fairley, Head of Finance and Human Resources

1 Purpose of Report

The purpose of this report is to provide the Audit Committee with the 2013/14 quarter 1 update, following review by Service Managers.

2 Background

2.1 Audit Committee have requested regular reporting on the Council's Corporate Risks, a headline report is attached for information.

2.2 The current headline report indicates the highest risks facing the Council at this time are recorded as:

- Corporate Change & Transition
- Welfare Reform Act and
- Balancing the Budget

2.4 The Risk, Safety & Civil Contingencies Manager is currently working with Service Managers and Heads of Service to review the risk register and assessment of risk facing Midlothian Council. This review work has considered new and emerging risks which are not currently reflected within the risk register.

Benchmarking work has also been carried out with East Lothian Council, comparing Corporate Risks. The Risk, Safety & Civil Contingencies Manager will present a report back to Audit Committee with the findings of this review and recommendations for action as appropriate.

3 Report Implications

3.1 Resource

There are no direct resource implications in this report

3.2 Risk

Corporate Risks are generally those that impact on all parts of the Council. It appears that the presence of risk is understood and action is being taken to manage risk downward to acceptable levels, even if this is going to take a while through gradual, but well-planned steps.

3.3 Single Midlothian Plan and Business Transformation

Themes addressed in this report:

- Community safety

- Adult health, care and housing
- Getting it right for every Midlothian child
- Improving opportunities in Midlothian
- Sustainable growth
- Business transformation and Best Value
- None of the above

3.4 Impact on Performance and Outcomes

The purpose of the council's risk management approach is to support the organisation to deliver on its key outcomes by highlighting and taking steps to mitigate potential disruption to delivery of services.

3.5 Adopting a Preventative Approach

The Risk Management approach being taken by the Council is founded on a preventative approach to managing risks.

3.6 Involving Communities and Other Stakeholders

Consultation has taken place with Senior Managers in charge of the corporate risks.

3.7 Ensuring Equalities

There are no direct equalities issues arising from this report.

3.8 Supporting Sustainable Development

Senior Managers must ensure the sustainability of the Council, which entails identifying, understanding and managing Corporate Risks.

3.9 IT Issues

None

4 Recommendations

Audit Committee is invited to:

1. Note the 13/14 quarter 1 report on Corporate Risks
2. Add to the list of Corporate Risks
3. Recommend any changes to the risk scores

Date

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Background Papers:

Headline Report