

Notice of meeting and agenda



Audit Committee

Venue: Council Chambers, Midlothian House, Dalkeith, EH22 1DN

Date: Tuesday, 13 December 2016

Time: 11:00

John Blair
Director, Resources

Contact:

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Further Information:

This is a meeting which is open to members of the public.

Audio Recording Notice: Please note that this meeting will be recorded. The recording will be publicly available following the meeting. The Council will comply with its statutory obligations under the Data Protection Act 1998 and the Freedom of Information (Scotland) Act 2002.

1 Welcome, Introductions and Apologies

2 Order of Business

Including notice of new business submitted as urgent for consideration at the end of the meeting.

3 Declarations of Interest

Members should declare any financial and non-financial interests they have in the items of business for consideration, identifying the relevant agenda item and the nature of their interest.

4 Minutes of Previous Meeting

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| 4.1 | Minute of Meeting of 20 September 2016 submitted for approval | 5 - 10 |
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5 Public Reports

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|------------|--|------------------|
| 5.1 | Midlothian Council External Audit Framework overview – Report by External Auditors | 11 - 32 |
| 5.2 | Risk Management Update 1 April - 30 June 2016 - Report by Risk Manager | 33 - 56 |
| 5.3 | Risk Management Update 1 July - 30 September 2016 - Report by Risk Manager | 57 - 78 |
| 5.4 | Maintaining Midlothian's Roads Update Report - Report by Head of Commercial Operations | 79 - 84 |
| 5.5 | Anti Fraud and Corruption, Anti Bribery and Whistleblowing Policies - Report by the Internal Audit Manager | 85 - 112 |
| 5.6 | Audit Scotland Report - National Fraud Initiative 2014/15 - Report by Internal Audit Manager | 113 - 120 |
| 5.7 | Corporate Fraud Annual Report - Report by the Internal Audit Manager | 121 - 126 |
| 5.8 | Internal Audit Progress Report - Report by the Internal Audit Manager | 127 - 134 |
| 5.9 | Internal Audit Recommendations - Report by Internal Audit Manager | 135 - 146 |

5.10	Internal Audit Report - Welfare Reform Universal Credits - Report by Internal Audit Manager	147 - 160
5.11	Internal Audit Report –Tyne Esk LEADER - Report by Internal Audit Manager	161 - 176
5.12	Financial Monitoring 2016/17 and Financial Strategy 2017/18 to 2021/22 - Report by Director Resources	177 - 238

6 Private Reports

No private business submitted for discussion

The next meeting of the Audit Committee will be held on Tuesday 21 March 2016