

Midlothian Integration Joint Board

Audit and Risk Committee



Thursday 6 September 2018 at 2.00 pm

Risk – partners key risks and any potential impact on the IJB

Item number:	5.4
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Executive summary

The IJB and the Audit and Risk committee have discussed previously the need to be sighted on the operational risks held within the risk registers of the health and social care partners held within both NHS Lothian and Midlothian Council.

At the March 2018 Audit and Risk Committee it was agreed that the Chief Finance Officer would provide a report highlighting these operational risks to ensure that any risks identified are known to the IJB.

Of course, the IJB is not in a position to manage such operational risks and would, in any event, depend on the management actions of the partners..

Committee members are asked to:

- 1. Note the operational risks identified.*
 - 2. Consider if any further risks should be added to the risk register*
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Risk – partners key risks and any potential impact on the IJB

1. Purpose

This paper provides an update of the operational risks held within the partners risks registers of both Midlothian Council and NHS Lothian to the committee and therefore allowing information on key risks held and their mitigation.

2. Recommendations

The Committee is asked to :-

- 2.1 Note the operational risks.
- 2.2 Consider if any further risks should be added to the risk register.

3. Background and main report

- 3.1 At its March 2018 meeting the Audit and Risk Committee had agreed its risk management policy and there is no requirement to change this extant policy.
- 3.2 As part of this it was agreed that a report would be brought back to provide an update of the risks held by the partner organisations - NHS Lothian and Midlothian Council.
- 3.3 The risk registers for Midlothian Council (Social Care) and NHS Lothian (The Midlothian Partnership) held are attached in appendices 1 and 2,
- 3.4 The key high level risk identified are
 - DELAYED DISCHARGES - There is a risk that patients will have their discharge delayed because there is insufficient community supports to enable timely discharge leading to deterioration in their health, beds being blocked and elective operations potentially being cancelled.
 - ILLY PRESCRIBING SYSTEM WITHIN SUBSTANCE MISUSE SERVICE - There is a risk that patients could be harmed because of recurring issues with the electronic prescribing system and the termination of the current licence leading to potential errors and delays due to manual completion of a significant number of prescriptions.

- CAPACITY OF VOLUNTARY AND PRIVATE SECTOR TO MEET COUNCIL'S REQUIREMENTS IN RELATION TO QUALITY AND COST OF SERVICES - Problems are not one of a limited supply of providers, more to do with capacity and quality. Main threats are commissioned care providers: i) delivering poor quality care that places service users at risk of harm; ii) unable to meet the increasing demands for provision particularly in relation to care at home; iii) ceasing trading due to financial difficulties creating risks around service provision for large groups of very vulnerable people. All of these risks have further risks of adverse publicity for the Council.
- MEETING GROWING DEMANDS WITH CONSTRAINED /REDUCED BUDGETS, ESPECIALLY FROM EXTERNAL FUNDERS - Community Care: Risks from demographic pressures arising from increasing elderly population and increasing numbers of adults with disabilities and complex needs.

3.5 The above four key risk have a score above risk score 15 and the full risk registers are attached for information.

4. Policy Implications

4.1 There are no further policy implications arising from any decisions made on this report.

5. Equalities Implications

5.1 There are no implications for health inequalities or general equality and diversity issues arising directly from the issues and recommendations in this paper.

6. Resource Implications

6.1 There are no further resource implications arising from this paper.

7 Risks

7.1 The issue raised by this report are already identified in the risk registers

8 Involving People

8.1 The IJB holds its meetings in public and its papers will be published on the IJB's website.

9 Background Papers

9.1 Paper to the IJB's Audit and Risk committee, March 2018

AUTHOR'S NAME	David King
DESIGNATION	Chief Finance Officer
CONTACT INFO	David.king@nhslothian.scot.nhs.uk
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