

Corporate Risk Register, Quarterly Update

Report by Gary Fairley, Head of Finance and Human Resources

1 Purpose of Report

The purpose of this report is to provide the Audit Committee with the 2012/13 quarter 4 update, following review by Service Managers.

2 Background

- 2.1 Audit Committee have requested regular reporting on the Council's Corporate Risks, a headline report is attached for information.
- 2.2 Following the decision by CMT to relegate the 'Corporate Policies and Strategies' to low risk, this risk no longer features in the headline report referred to above.
- 2.3 Actions arising from the Corporate Risk Register (CRR) to manage the risks identified, the CRR shows significant progress in this regard with only a few outstanding actions:
1. Driver training for leased and casual drivers (Head of Commercial Operations)
 2. Updated Code of Corporate Governance (Head of Customer Services)
 3. Adoption of the data protection management action plan arising from the internal audit report on data protection
 4. Review of compliance with Audit Scotland report on Following the Public Pound (Internal Audit)
 5. Pilot Resilient Communities (Head of Adult & Community Care)

The following actions are reported as completed/ on target:

1. Information Commissioner audit of the Council's data protection arrangements
 2. Invest in Info Management/Encryption (e-mails)
 3. Invest in ongoing improvements in information management and data encryption (e-mail)
 4. Invest in Payment Card Industry (PCI) compliance.
- 2.4 It is planned to have the Risk, Safety & Civil Contingencies Manager work with Service Managers and Heads of Service Managers during Q1 of 2013/14 to ensure the risk register and assessment of risk accurately reflect the risks facing Midlothian Council. There is a sense in some areas the risk register may not wholly reflect the risks arising in the Council's operating environment. Part of the focus here will be to ensure the risk management process remains relevant at all times.

3 Report Implications

3.1 Resource

There are no direct resource implications in this report

3.2 Risk

Corporate Risks are generally those that impact on all parts of the Council. It appears that the presence of risk is understood and action is being taken to manage risk downward to acceptable levels, even if this is going to take a while through gradual, but well-planned steps.

3.3 Single Midlothian Plan and Business Transformation

Themes addressed in this report:

- Community safety
- Adult health, care and housing
- Getting it right for every Midlothian child
- Improving opportunities in Midlothian
- Sustainable growth
- Business transformation and Best Value
- None of the above

3.4 Impact on Performance and Outcomes

The risk management approach of the council supports the organisation to deliver on its key outcomes by highlighting and taking steps to mitigate potential disruption to delivery of services.

3.5 Adopting a Preventative Approach

The Risk Management approach being taken by the Council is founded on a preventative approach to managing risks.

3.6 Involving Communities and Other Stakeholders

Consultation has taken place with Senior Managers in charge of the corporate risks.

3.7 Ensuring Equalities

There are no direct equalities issues arising from this report.

3.8 Supporting Sustainable Development

Senior Managers must ensure the sustainability of the Council, which entails identifying, understanding and managing Corporate Risks.

3.9 IT Issues

None

4 Recommendations

Audit Committee is invited to:

1. Consider the Q4 12/13 report on Corporate Risks
2. Note the completion of actions and actions that are outstanding

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Background Papers:

Headline Report