






# IJB Risk Register

## IJB.IR.01 Financial Stability




Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.IR.01	<p><b>Risk cause</b> Uncertainty as to the allocation of financial resource to the IJB.</p> <p><b>Risk event</b> The lack of a clear budget is prohibiting budget planning, with a reducing time until the IJB is formally in operation.</p> <p><b>Risk effect</b> Inability of IJB to set its own budget and therefore to plan service delivery and redesign</p>	Dave *King	Chief Finance Officer (CFO) appointed to IJB	5	5	

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.IR.01-A1	Awaiting budget announcement by NHS Lothian.	<b>Q3 16/17</b> Final budget confirmed to IJB in August 2016.	Dave *King	31-Dec-2016	
IJB.IR.01-A2	Awaiting clarification on budget allocation from Midlothian Council	<b>Q3 16/17:</b> Core budget confirmed, including the allocation of the Social Care Fund	Dave *King	31-Dec-2016	
IJB.IR.01-A3	Awaiting final financial assurance report on adequacy of budget setting process	<b>Q1 16/17:</b> Core budget confirmed, finalising social care monies to come to IJB after <u>core costs such as</u> living wage costs.	Dave *King	31-Dec-2016	


## IJB.IR.02 Current Recruitment of health visitors ,GPs and District Nurses


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.IR.02	<p><b>Risk cause</b> Current shortage of Health Visitors,GPs and District Nurses.</p> <p><b>Risk event</b> Insufficient numbers of qualified people to deliver services based on current models. GP practices close. Increased number of closed and/or restricted lists and resulting impact on other practices Patients not being able to register with their local practice Additional workload for existing GPs due to inability to fill vacancies Increased pressure on other parts of the health &amp; social</p>	Allister Short	<ol style="list-style-type: none"> <li>1. Individual meetings with Practices to discuss key issues and pressures.</li> <li>2. Additional investment and capacity through LEGUP, pharmacy input, extension of premises and provision of equipment by the HSCP.</li> <li>3. Monthly GP Reps meeting to review pressures and explore collective approaches.</li> <li>4. Development &amp; ongoing review of vulnerability register for all Practices in Midlothian.</li> <li>5. Establishment of new Practice within Midlothian to create additional capacity within the area.</li> <li>6. Funding for refurbishment work for new Practice now agreed</li> <li>7. Procurement process due to start and 3 notes of interest in taking on new Practice</li> <li>8. A Practice which previously had a restricted list is now fully open</li> </ol>			

	care system Increased demands during Winter may impact on unscheduled care within the acute setting  <b>Risk effect</b> Negative Impact on service delivery where services require Health Visitors, GPs and District Nurses. Six GP practices in Midlothian have now closed their lists to new patients.		9. Additional Winter investment for Hospital at Home and Homecare to provide more capacity and relieve pressures on primary care 10. Additional therapy input (OT & PT) through Winter funding to support rehabilitation services due to possible increased falls risk 11. Recruitment of further 'House of Care' wellbeing workers across 6 Practices in Midlothian to reduce demands on GP time (funded by PCTF)			
--	--	--	--	--	--	--

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.IR.02.A1	Develop Alternative Services	Q3 16-17 The Wellbeing Service is being rolled out to 8 GP Practices	Allister Short	31-Mar-2017	
IJB.IR.02.A3	Recruitment of District Nurses	Q3 16/17 There is a national recruitment drive. Locally a number of band 5 nurses have been recruited to cover the shortfall in Band 6	Allister Short	31-Mar-2017	
IJB.IR.02-A2	Recruitment of Health Visitors	Q3 16/17 A number of newly qualified HV's are now in post. A national recruitment campaign is in place. More HV's are being trained nationally.	Allister Short	31-Mar-2017	


### IJB.OP.01 Strategic Plan

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.OP.01	The creation of a Strategic Plan provides the opportunity to describe the future shape of health and care services.	Eibhlin McHugh	The Strategic Plan sets out the direction of travel for all health and care services and identifies how available funding will be used to enable some of these changes to take place.  New funding such as social care monies and Primary Care Transformation funds will enable some of the aspirational plans to be put into effect.  Direction provides clarity and specificity about actions flowing from the Strategic Plan.  Health and Care Transformation Board has been established to ensure a SMART (Specific, Measurable, Achievable, Realistic, Timely) approach to implementation of the Strategic Plan.			


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.OP.01.A2	Hospital Beds	Q3 16/17 Midlothians performance on Delayed Discharge has deteriorated in 16/17. The implementation of the Strategic Plan is dependant on improving the efficiency in the use of hospital beds. A series of actions have been taken to address the issue of delayed discharge.	Eibhlin McHugh	31-Mar-2017	


### IJB.OP.02 Additional funding for IJBs

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.OP.02	The IJBs share of additional social care funding announced by the Scottish Government is £3.6m.	Dave *King	Work continues to determine what proportion of this budget is available for transformation.			


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.OP.02.A1	Living Wage	<b>Q3 16/17</b> Additional monies are to be made available by the Scottish Government in 2017/18 to include Personal Assistants and Day Care Staff	Alison White	31-Mar-2017	

### IJB.RR.01 Budget Pressures


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.01	<b>Risk cause</b> Inadequate resources to meet demand in the manner in which services are currently delivered.  <b>Risk event</b> Inability to meet demand within existing resources.  <b>Risk effect</b> Overspends due to excessive demand for services, quality failures, and cuts in other services.	Dave *King	Chief Finance Officer appointed to IJB to support the management of finance.  Early Warning Indicators from NHS Lothian and Midlothian Council.  Strong budget control systems in place in NHS Lothian and Midlothian Council.	4	4	

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.01.A1	Financial Strategy	Q3 16/17 The IJB has approved the approval to the development of a local financial strategy. Scottish Government announced the settlement for local government and NHS Boards on 15 December 2017	Dave *King	31-Jan-2017	


### IJB.RR.02 Legacy Financial Commitments


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.02	<b>Risk cause</b> Financial commitments planned and/or incurred during 2015/16, which carry through to 2016/17 when the IJB assumes responsibility.	Dave *King	Early warning indicators from NHS Lothian and Midlothian Council  Strong budget control systems in place in NHS Lothian and Midlothian Council	3	4	

	<b>Risk event</b> IJB is committed to expenditure which is inconsistent with the direction of travel outlined in its Strategic Plan.  <b>Risk effect</b> The Community Health Partnership will have made financial commitments when in operation some of which will extend in to the period covered by the newly formed IJB and will require to be honoured.		Contracts with third parties are laid out in a way which reflects the likelihood of changes being required - particularly in relation to Self Directed Support.			
--	--	--	---	--	--	--

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.02.A1	Risk Sharing	Q3 16/17 A risk sharing agreement has been agreed with NHS Lothian meaning that there is no financial risk to the council.		31-Mar-2017	

### IJB.RR.03 Demographic Changes


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.03	<b>Risk cause</b> Increasing demands on services as a result of ageing population, and increasing numbers and complexity of need of children moving into Adult Services.  <b>Risk event</b> Inability to meet demand within existing resources.  <b>Risk effect</b> Demands made on Social Care resource budget exceed available budget.  Capacity to maintain and develop preventative services is put at risk.	Eibhlin McHugh	Annual review of joint needs assessment so that the allocation of resources can be reviewed and amended.  Continual process of service redesign to ensure people access services quickly, and their recovery is supported effectively.	5	5	

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.03-A1	Budget report including demographic pressures	<b>Q3 16/17:</b> Council Budget report for 2017/18 report to Council, including detail of demographic impact on Service Budgets.	Dave *King	20-Dec-2016	


### IJB.RR.04 Governance


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.04	<b>Risk cause</b> Complexity of governance arrangements for the three bodies - NHS Lothian , Midlothian Council and the IJB - having to work together	Eibhlin McHugh	Performance Reports  Use of Audit to Monitor effectiveness of Internal controls  Code of Corporate Governance	4	4	

	<b>Risk event</b> Issues arise which lead to uncertainty about decision making authority.  <b>Risk effect</b> The IJB's governance systems are unable to operate effectively.		Integration Scheme			
--	---	--	--------------------	--	--	--


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.04-A1	Governance Action	<b>Q3 16/17:</b> Progress report considered by Audit & Risk Committee on Code of Corporate Governance Action Plan.	Eibhlin McHugh	31-Dec-2016	



### IJB.RR.06 Information Security (Data Protection)

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.06	<b>Risk cause</b> IJB members are likely to be provided with a range of confidential materials in discharging their duties as IJB members. General Data Protection Regulation is a new piece of legislation currently being formulated by the European Commission. It is expected to be agreed in the first part of 2016 with a two year lead in period.  <b>Risk event</b> Release of sensitive information into the public domain could breach data protection rules. The Regulation is expected to be agreed in the first part of 2016 with a two year lead in period.  <b>Risk effect</b> Potential action against the board as a data controller. The Regulations are expected to bring about a number of requirements on the IJB including mandatory reporting of all data breaches, appointment of a Data Protection Officer and the potential for fines ranging to 4% of turnover or 20million Euros whichever is greater.	Eibhlin McHugh	Data sharing agreements in place  Interagency Information Exchange will enable secure exchange of information at individual patient level.	3	4	


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.06.A1	Interagency Information Exchange	Q3 16/17 Developmental work continues with Corelogic to enable NHS staff to access social care information	Mike O'Rourke	31-Mar-2017	


## IJB.RR.07 Managing Change

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.07	<p><b>Risk cause</b> Information on changes to service released before service user or employees consultation strategy developed.</p> <p><b>Risk event</b> There is the potential for information to be released on draft schemes or proposals for changes to service delivery.</p> <p><b>Risk effect</b> This could have a negative impact on Service Users and Employees by creating unnecessary concern regarding potential changes which have not been fully considered or consulted on.</p>	Eibhlin McHugh	<p>There is a Communication Officer allocated to support the IJB working in close collaboration with the Communication Teams in the Council and NHS Lothian.</p> <p>There is an Organisational Development Officer in post, delivering an OD programme alongside a number of Lothian-wide initiatives.</p>	3	4	


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.07.A1	Communications Strategy	Q3 16/17 Communication plans are being developed and implemented across the service.		31-Mar-2017	
IJB.RR.07.A2	Organisational Development Programme	Q3 16/17 An organisation development programme is being delivered and a long term workforce being developed.		31-Mar-2017	



## IJB.RR.08 Management Information

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.08	<p><b>Risk cause</b> The two main software systems used within the Council (Framework-i) and NHS Lothian (Trak) to support the delivery of adult and social care do not integrate at present.</p> <p><b>Risk event</b> These systems are used to drive performance information.</p> <p><b>Risk effect</b> The lack of integration of the information between the systems reduces the potential for holistic reporting.</p>	Allister Short; Alison White	<p>The Interagency Information Exchange allows direct and up to date access to other professional's information.</p> <p>The use of Anticipatory Care Plans will be rolled out so the information is available at times of crisis/deterioration.</p>	5	3	


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.08.A1	Interagency Information Exchange	Q3 16/17 Developmental work continues with Corelogic to enable NHS staff to access social care information	Mike O'Rourke	31-Mar-2017	


**IJB.RR.09 Leadership Capacity - IJB**

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.09	<p><b>Risk cause</b> Changing membership of IJB creates challenges to ensure all members have a clear understanding of the Integration of Health and Social Care.</p> <p><b>Risk event</b> New members may have a knowledge gap around the work of the IJB, the planned outcomes and measures to drive forward improvement.</p> <p><b>Risk effect</b> Ability of new members to make a positive contribution to the IJB.</p>	Eibhlin McHugh	<p>National and local Induction programs in place.</p> <p>Membership changes incrementally.</p> <p>User, Carer and Third Sector members receive pre-meeting support.</p>	3	3	


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.09.A1	IJB Development Sessions	<p>Q3 16/17</p> <p>An annual programme was implemented in November 2015. The programme has enabled members to grow in confidence in their understanding of their role and responsibilities, by way of focused discussion on specific topics. The sessions have involved dialogue with each other, drawing on the knowledge of key practitioners from the partnership, in attendance. Topics have included Financial management, Risk Assessment and Risk Management, Changing models of Health and Social Care, Primary Care Strategy, Mental Health, Substance Misuse and Recovery.</p>	Eibhlin McHugh	31-Mar-2017	
IJB.RR.09.A2	Leadership	<p>Q3 16/17</p> <p>Midlothian has invested in the pan-Lothian 'Playing to your Strengths' learning opportunity for members of Senior managers and other key strategic posts. The focus of <i>Playing to your strengths</i> is on helping people to leverage their strengths as leaders, to become more resilient in pursuit of the organisation's vision. This approach assumes that where people play to their strengths they are energised and can channel energy into improving their resilience as leaders. 30 managers have participated with positive evaluation.</p> <p>The next step is for this to be offered in Midlothian to all middle managers within the H &amp; SC partnership.</p> <p>The pan-Lothian commissioned team development toolkit will be beneficial to Team Leaders in their leadership role in 2017.</p> <p>Midlothian partnership is connecting with the National Collaborative Leadership resource in 2017 , with a focus on leadership within localities.</p>	Eibhlin McHugh	31-Mar-2017	

## IJB.RR.10 Workforce Capacity Including Recruitment & Retention of Health and Social Care Staff


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.10	<p><b>Risk cause</b> Potential future shortage of Health Visitors, District Nurses, GPs and Social Care staff.</p> <p><b>Risk event</b> Insufficient numbers of qualified people to deliver services based on current models.</p> <p><b>Risk effect</b> Negative impact on service delivery where services require Health Visitors and GPs.</p>	Allister Short; Alison White	<p>National program of training for GPS and Health Visitors.</p> <p>Living Wage commitment to address low paid positions.</p> <p>Local Workforce Plan being developed which will include the development of new roles and a changing skill mix.</p> <p>Health and Social Care Academy being established.</p>	3	4	

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.10.A1	Workforce Planning	<p>Q3 16/17 A significant amount of research has been done to establish the context of workforce planning for our partnership. In addition we have both a Midlothian Council Adult Care plan and an NHS Midlothian specific plan, both of which offer key data. Information has been extracted from SSSC Workforce data bank and we have a working relationship with SSSC to support finer inquiry into the data.</p> <p>Inclusion of all partners is essential and Midlothian has been instrumental in establishing a local interest group, with Third and Independent Sector participation , a pan-Lothian interest group and a live connection with Scottish Government on workforce planning issues. Interviews with key managers across the partnerships has started.</p> <p>The conversation about the Workforce has begun with creative thinking sessions happening with the Joint Management team. A second conversation event is planned for January 2017 with wider partnership involvement. The IJB Development session of March will focus on Workforce planning.</p>	Eibhlin McHugh	31-Mar-2017	


## IJB.RR.11 Working With Other Organisations (Partnership)


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.11	<p><b>Risk cause</b> The establishment of the Health and Care Partnership (HSCP) may reduce the efforts required to work with other Community Planning partners.</p> <p><b>Risk event</b> THE HSCP focusses too narrowly on its immediate responsibilities to deliver direct services in health and care, and neglects the task of building long term sustainability.</p> <p><b>Risk effect</b> The HSCP does not achieve its long term objectives.</p>	Eibhlin McHugh	<p>The IJB Chair and Chief Officer are members of the Community Planning Board.</p> <p>Health and Social Care are actively in Area Targetting Work.</p> <p>Inequality is the key objective of the CPP over the next three years.</p> <p>Other agencies - e.g. Housing; Libraries; Fire and Rescue; Ambulance - are actively involved in joint planning groups.</p>	3	4	



Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.11-A1	Community Plan	<b>Q3 16/17:</b> Adult Health & Care Action element of the wider Community Plan currently under development with input from key stakeholders.	Eibhlin McHugh	28-Mar-2017	


### IJB.RR.12 Ability to Deliver Personal Outcomes

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.12	<b>Risk cause</b> Services are not responsive to the needs to individuals.  <b>Risk event</b> People receive inappropriate, ineffective and inefficient services  <b>Risk effect</b>	Eibhlin McHugh	The continuing implementation of Self Directed Support will help shift the culture of social care services.  The implementation of "House of Care" Wellbeing Services will help to promote a "whole person" approach in Primary Care.  Reporting on outcomes as well as quantifiable performance data will help reinforce this objective.  Strategic Plans and Commissioning Processes will help to reinforce the focus on outcomes.			


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.12-A1	Realistic Care	<b>Q3 16/17:</b> Review of model of Social Care in relation to outcomes, risk and self-directed support.	Eibhlin McHugh	31-Dec-2017	


### IJB.RR.13 Interdependencies with NHS Lothian and Midlothian Council

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.13	<b>Risk cause</b> The formal arrangements as laid out in the Integration Scheme and the Provision of Directions may encourage an "us" and "then" dynamic.  <b>Risk event</b> Council and NHS Lothian staff fail to take initiatives and demonstrate full commitment to service redesign proposed by the IJB.  <b>Risk effect</b> Innovation and collaborative working will be impeded.	Eibhlin McHugh; Alison White	Organisational Development Programme.  Inclusive approach to strategic planning.  Continual reinforcement of a collaborative culture.  Effective senior leadership capacity.	4	4	


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.13	Directions	<b>Q3 16/17:</b> Initial report outlining key messages contained in the 2017/18 Directions issued to Council and NHS Lothian.	Eibhlin McHugh	31-Mar-2017	


### IJB.RR.14 Business Continuity

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.14	<b>Risk cause</b> Lack of clarity about Business Continuity arrangements.  <b>Risk event</b> The Health & Social Care Partnership is unable to implement proposals in the absence of an effective governing body.  <b>Risk effect</b> The IJB fails to make good progress with the implementation of its Strategic Plan.	Eibhlin McHugh	Integration Scheme - standing orders and a code of governance in place.  Substitute IJB members in place by NHS Lothian, Midlothian Council, Users, Carers and Third Sector.	3	4	

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.14.A1	Code of Corporate Governance	Q3 16/17 The action plan continues to be developed and implemented.		31-Mar-2017	

### IJB.RR.15 Service Provider Business Continuity


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.15	<b>Risk cause</b> Lack of clarity about Business Continuity arrangements  <b>Risk event</b> The capacity to deliver good quality services is restricted  <b>Risk effect</b> The delivery of adult and social care requires uninterrupted delivery of service as care needs are continuous.	Allister Short; Alison White	The Council and NHS have their own Business Continuity Plans and arrangements in place to monitor third party suppliers.	3	4	

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.15.A1	Care at Home	Q3 16/17 New provider now in place and new model of care being developed another provider has signalled its difficulties in meeting the contract.	Allister Short	31-Mar-2017	


### IJB.RR.16 Liberton Community Hospital


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.16	<b>Risk cause</b> The interdependency of the IJB on NHS Lothian and other IJBs may impact on the speed and clarity of plans to relocate to Midlothian Community Hospital and enhanced community services.	Allister Short	Cross Partnership Steering Group established under the chair of Midlothian Head of Health.  Individual Project register sets out current risks and controls.	5	4	

	<b>Risk event</b> The changes do not go ahead within the planned timescale.  <b>Risk effect</b> The key change planned in relation to shifting the balance of care does not proceed as planned. There is also a failure to generate the required financial savings.					
--	---	--	--	--	--	--


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.16.A1	Project Plan	Q3 16/17 Timescale has slipped to 31/3/2017 resulting in a loss of savings achieved in 2016/17	Allister Short	31-Mar-2017	

### IJB.RR.17 Complex Care Build - Penicuik


Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.17	<b>Risk cause</b> Failure to clearly determine the correct service design - building and staffing - and allocation criteria.  <b>Risk event</b> The project is not delivered on time and/or to the correct specification.  <b>Risk effect</b> The residents allocated to the service will not have the level of complexity of need originally envisaged, and therefore budget savings will not be realised.	Alison White	Project Risk Register  Project Team in place	2	4	

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.17.A1	Care Provider	Q3 16/17 Following a tendering process a new provider has been commissioned		31-Mar-2017	


### IJB.RR.18 Use of Acute Hospital Beds

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.18	<b>Risk cause</b> Midlothian has too high a usage of hospital beds for people who are fit to be discharged or who did not need to be admitted in the first place.  <b>Risk event</b> Acute hospitals are unable to function effectively and efficiently because of the number of people occupying beds who do not require hospital care.	Allister Short; Alison White	On going monitoring of quality			

	<b>Risk effect</b> The difficulty of shifting resources to community based services will continue, and people who need hospital care will experience delays.  The acute hospital system has to commission services from private providers to meet national targets.					
--	--	--	--	--	--	--


Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status
IJB.RR.18.A1	Hospital Plan	Q3 16/17 Series of discussions held about Midlothian direction of travel and how this should be reflected in the NHS Lothian Hospital Plan	Eibhlin McHugh	31-Mar-2017	

### IJB.RR.19 Regulatory Change - Children & Young Person Act

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.19	<b>Risk cause</b> The Children & Young Person Act will extend the duration of care for young people into adulthood.  <b>Risk event</b> The period of care afforded to young people is set to increase up to the age of 25.  <b>Risk effect</b> Potential for uncertainty about respective responsibilities of children's and adult's services may result in confused arrangements.	Alison White	Transitions Group in place  A review of the working arrangements between Adult Care, Child Care and Child Health Services is underway.	4	4	

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status

### IJB.RR.20 Regulatory Change - Carers Act

Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
IJB.RR.20	<b>Risk cause</b> Carers Act continues to give rise to uncertainties about how respite for carers will be funded.  <b>Risk event</b> The impact of the Act leads to a funding gap in charges generated by the Council.  <b>Risk effect</b> A minimalist approach is adopted by the Partnership due to the concern that funding will not be available.	Allister Short; Alison White	Guidance is being sought continually from the Scottish Government and COSLA (Council of Scottish Local Authorities).  Creative options being considered through the Self Directed Support Project Board.	3	3	

Related Action Code	Related Action	Related action latest note	Managed By	Due Date	Status