

Midlothian Integration Joint Board Audit and Risk Committee



Thursday 4 March 2021, 2pm

Risk Register

Item number: 5.1

Executive summary

The Integrated Joint Board (IJB) Audit and Risk Committee maintains a strategic risk profile which is regularly scrutinised. However, it is important that Midlothian IJB is kept informed of its key risks and the actions undertaken to manage these risks. This report contains the strategic risk profile covering quarter 3 2020/21, 1 October 2020 – 31 December 2020.

Committee members are asked to:

1. Consider the strategic risk profile and current response to the issues, risk and opportunities.

Risk Profile

1 Purpose

- 1.1 This includes the quarter 3 2020/21, 1 October 2020 – 31 December 2020 and highlights current issues, future risks and opportunities of note to the IJB.

2 Recommendations

- 2.1 As a result of this report what are Members being asked to:-
- Consider the strategic risk profile especially those issues and risks highlighted in the covering report.

3 Background and main report

- 3.1 The IJB's Audit and Risk Committee regularly scrutinises the strategic risk profile and reports back to the IJB quarterly.
- 3.2 The quarter 3 2020/21, (1 October 2020 – 31 December 2020) present the issues, future risks and opportunities for the IJB.
- 3.3 The most significant issues and risks on the MIJB strategic risk profile during quarter 3 2020/21 are as follows:-

Issues

Care homes

Support to Care Homes continues. In response to Scottish Government guidance issued during the pandemic, Midlothian Health & Social Care Partnership has clinical oversight across all Midlothian Care Homes, providing support and education as required on behalf of NHS Lothian Executive Director of Nursing. Care Homes continue to be supported to provide high quality care and to comply with guidance related to the pandemic including instructions around PPE and testing of staff and residents. During Q3, Midlothian Care Home staff were tested for COVID-19 weekly while preparing for the addition of Lateral Flow Testing in January (to increase staff testing to 3 times per week)..

Clinical and care support is provided for care home staff to support infection control and support wellbeing of residents.

Use of Acute Hospital beds

The use of unscheduled care facilities increased in quarter 3. Services to support people who have a long term condition to stay well at home continued to operate in order to support as many people as possible to avoid hospital visits. Many services operated whilst reducing face to face contact – telephone and digital tools were employed. It is the intention of the IJB to review the different models of service provision employed during the pandemic with a view to maintaining certain practices longer term.

Representatives of Midlothian Health and Social Care Partnership now participate in the RIE Hospital Management Team meetings such as the Redesign of the Front Door and Winter Planning.

COVID-19

The Health and Social Care Partnership management team developed and reviewed plans for the anticipated resurgence of COVID-19 pandemic within the community during quarter 3 which had been expected to increase demand for services and risks around staff absence.

Risk

Balancing the budget in future years' remains

The new 5 year financial plan for Midlothian IJB was reported to IJB in December 2020. During 2021 Finance LMP return routinely submitted to Scottish Government to support the release of funding from them, funding has been received by the HSPC with further funding to follow.

4 Policy Implications

- 4.1 There are no new policy issues raised in this paper.

5 Directions

- 5.1 This report does not require a new direction.

6 Equalities Implications

- 6.1 There are no direct equalities implications arising from this report.

7 Resource Implications

- 7.1 There are no direct resource implications associated with this report.

8 Risk

- 8.1 The risks relevant to the business of the IJB are set out within the attached strategic risk profile.

9 Involving people

- 9.1 The IJB meetings are held in public and IJB papers along with those of the IJB Audit and Risk committee are available on the internet. This work is supported by the IJB's Chief Internal Auditor and the partnership's risk management.

10 Background Papers

- 10.1 None.

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Appendices:

1. Strategic Risk Profile Quarter 3 2020/21