




IJB Strategic Risk Profile


Quarter 3 2023/2024


Risk

Strategic Planning and Commissioning						
Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
TBC	Risk Effect Partners operational services may experience disruption to service delivery. Risk Consequence The IJB may not see sufficient or adequate progress towards its 6 strategic aims and delivering its strategic plan.	Chief Officer	Reassurance required from partners regarding <ul style="list-style-type: none"> Resilience planning and Business continuity Contract monitoring Governance and Assurance Outcomes for people and communities 	3	5	

Issuing of Directions						
Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
TBC	Risk Effect There is a risk that the IJBs partners have insufficient workforce or other resources to execute the requirements and ambitions of the IJB Directions Risk Consequence The IJB cannot deliver on its strategic plan or financial plan.	Chief Officer	<ul style="list-style-type: none"> Directions are monitored and reported on twice a year for performance updates. financial allocations are included within directions on an annual basis after IJB budgets have been set The Planning, Performance and Programme team continue to support with additional capacity to redesign and transform key areas of service delivery A project management approach continues to support key areas aiming to accelerate progress in the delivery of the MIJB Directions. 	2	3	

Category 1 Responder Status						
Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
TBC	<p>Risk Effect IJBs role as Category 1 responders under the Civil Contingencies Act requires that our partners NHS Lothian and Midlothian Council maintain effective business continuity and resilience plans to ensure an appropriate response in times of emergency. There is a risk that our partners may not be able to provide these assurances.</p> <p>Risk Consequence Failure to maintain up to date and effective resilience plans could result in services unable to maintain essential service delivery.</p>	Executive Business Manager	<ul style="list-style-type: none"> Assurance reporting to IJB routinely by Chief Nurse, Chief Social Worker and Resilience. Annual category 1 assurance report submitted to IJB Robust operational process within Midlothian Health and Social Care to meet requirements Lessons learnt from COVID pandemic have been incorporated within standard service delivery, ongoing work to develop a quality management approach will provide additional partnership wide assurances to IJB. 	2	3	


Financial Sustainability						
Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
TBA	<p>Risk Effect The IJBs partners may not be able to allocate sufficient resource to deliver its Financial Plan and Strategic Plan.</p> <p>Risk Consequence The IJB may not be able to deliver the Strategic Plan in order to function within the available resources.</p> <p>The IJB may have to amend the ambitions of Strategic in such a way that the progress towards the 6 strategic aim of the Strategic Plan and 9 National Health and Wellbeing Outcomes is compromised.</p>	Chief Financial Officer	<ul style="list-style-type: none"> Chief Finance Officer responsible for the governance, appropriate management of finance and financial administration of the IJB. Strong budgetary control systems in place within NHS Lothian and Midlothian Council. IJB Medium term financial plan developed annually. Working in partnership in the financial environment with Midlothian Council and NHS Lothian. IJBs General Reserve. 	5	5	

Impacts of New Legislation						
Risk Code	Risk Identification	Managed by	Risk Control Measures	Likelihood	Impact	Risk Evaluation
TBA	<p>Risk Effect There is a risk of further legislation, policy, or national improvement drivers which impact on the IJBs ability to deliver on the Strategic Plan, examples include the legislation relating to a National Care Service and the Health and Care (Staffing) (Scotland) Act 2019.</p> <p>Risk Consequence The IJB and its partners may be distracted from the business of delivering the Strategic Plan.</p> <p>Legislation, policy, and national improvement drivers without fiscal supports will result in additional pressure on the IJB.</p>	Chief Officer	<ul style="list-style-type: none"> Respond to Scottish Government information requests on impact of future legislation, policies, and national improvement drivers Horizon scanning for policy developments through partners and SMT network groups Close working relations with Scottish Government through Chief Finance Officer, Chief Officer and COSLA. 	4	3	

Risk Management report Key:

Very low risk	1-3	
Low risk	4-8	
Medium risk	9-15	
High risk	16-20	
Critical risk	25	

Action Key:

In progress	
complete	
Overdue	