

Midlothian Integration Joint Board Audit and Risk Committee



Thursday 5th March 2019, 2pm

Risk Register

Item number:	5.1
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Executive summary

The Integrated Joint Board (IJB) Audit and Risk Committee maintains a strategic risk profile which is regularly scrutinised. However, it is important that Midlothian IJB is kept informed of its key risks and the actions undertaken to manage these risks. The current version of the IJB's strategic risk profile is attached.

Committee members are asked to:

1. Consider the strategic risk profile.

Risk Profile

1 Purpose

- 1.1 This includes the current version of the IJB's strategic risk profile and highlights current issues, future risks and opportunities of note to the IJB.

2 Recommendations

- 2.1 As a result of this report what are Members being asked to:-
- Consider the strategic risk profile especially those issues and risks highlighted in the covering report.

3 Background and main report

- 3.1 The IJB's Audit and Risk Committee regularly scrutinises the strategic risk profile and reports back to the IJB quarterly.
- 3.2 The 2019/20 quarter 3 strategic risk profile presents the current issues, future risks and opportunities for the IJB.
- 3.3 The most significant issues and risks on the MIJB strategic risk profile are as follows :-

Issues

- Issues relating to Midlothian's use of Unscheduled Care (unplanned hospital use) will now be considered by a new forum, Midlothian Acute Services Planning Group, involving the HSCP staff in Midlothian, RIE staff and Strategic Planning staff from the Royal Infirmary. A Plan for Acute Services is developed and been discussed at the IJB. Also there is now a pan Lothian Recovery Board on Unscheduled Care.
- Services for people with COPD and dementia have continued to develop and make an impact by reducing hospital admissions and length of stay. In addition the Discharge to Assess Team continues to ensure that people are supported to go home from hospital promptly.
- 'Workforce Capacity including recruitment and retention of health and social care staff', continues to be a challenge for the

Partnership. Various actions are being undertaken to address this including initiatives to enhance capacity in care at home provision. New care at home services commissioning process being undertaken.

- During July 2019, NHS Lothian was placed on the Scottish Government's Performance Escalation Framework (Level 3: significant variation from plan). NHS Lothian has adopted a collaborative whole system approach to the development and implementation of a recovery plan. A joint approach is therefore in place with each of NHS Lothian's IJBs, and three pan-Lothian programme boards have been established to facilitate implementation and monitoring of the recovery plans. The four Lothian IJB Chief Officers are members of the Recovery Plan Oversight Group, along with the NHS Lothian Executive Team and performance support from the Scottish Government. As a result of this the Audit & Risk Committee should consider whether this should be added to the IJB Risk Register. A report on this issue is a paper on the Audit & Risk agenda.

Risk

The risk 'Balancing the budget in future years' remains. The Financial forecast report was presented to IJB and IJB was updated on Transformation/saving programme in February 2020.

- The Reserves Policy was reviewed in quarter 3 and approved in October 2019.

4 Policy Implications

- 4.1 There are no new policy issues raised in this paper.

5 Directions

- 5.1 This report does not require a new direction.

6 Equalities Implications

- 6.1 There are no direct equalities implications arising from this report.

7 Resource Implications

- 7.1 There are no direct resource implications associated with this report.

8 Risk

- 8.1 The risks relevant to the business of the IJB are set out within the attached strategic risk profile.

9 Involving people

- 9.1 The IJB meetings are held in public and IJB papers along with those of the IJB Audit and Risk committee are available on the internet. This work is supported by the IJB's Chief Internal Auditor and the partnership's risk management.

10 Background Papers

- 10.1 None.

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Appendices:

1. Strategic Risk Profile