

# Appendix 1 – Completed MIJB Audit Actions

(Completed actions from 01/04/2022 – 30/09/2023)



## 2018/19 - Chief Internal Auditor's Annual Assurance Report and Opinion 2018/19 for Midlothian Health and Social Care Integration Joint Board

| Action Code      | Audit Recommendation (Risk Rating)   | Notes  | Rating | Due Date    | Progress | Service             |
|------------------|--|--|--------|-------------|----------|---------------------|
| IA.IJB2018/19.02 | MIJB Directions should contain appropriate strategic detail regarding the action to be taken in order to progress with the aims and ambitions of the strategic commissioning plan. This will be accompanied by measurement framework which contains appropriate metrics and sources. | <b>Q1 22/23:</b> New infrastructure and reporting arrangements in place to support directions and their ongoing review. The IJB will continue to seek assurance from the HSCP around its service plans.  | Medium | 31-Oct-2022 | 100%     | Integration Manager |
| IA.IJB2018/19.04 | Performance measures in the MIJB's Performance Framework should be more appropriately aligned to key priorities and outcomes of its Strategic Plan.  | <p><b>Q4 22/23:</b> MIJB approved the new Performance Framework on 09 February 2023. This followed extensive work to build a meaningful framework that included the re-design of Midlothian IJB Directions and ensure a more strategic approach, and creating a mechanism to measure progress towards the 6 strategic aims of the Strategic Commissioning Plan 2022-25 that takes a triangulated approach to activity, experience and outcomes using the OutNav system.</p> <p>Work will progress in 2023-24 Q1-Q3 to develop the system wide use of OutNav as part of the Midlothian IJB Performance Framework.</p> | Medium | 31-Mar-2022 | 100%     | Integration Manager |

**2019/20 - Workforce development focused on the Midlothian Health and Social Care Partnership arrangements to support the Midlothian Integration Joint Board workforce direction - Moderate Assurance**

| Action Code   | Audit Recommendation (Risk Rating)  | Notes   | Rating | Due Date    | Progress | Service                                 |
|---------------|---|---|--------|-------------|----------|---|
| IA.IJBWFD.2.2 | Following the publication of the revised workforce planning guidance in December 2019, the Midlothian HSCP should proceed with the development of their three-year Workforce Plan to ensure publication by 31 March 2021 with attention given to the guidance when creating the plan. Management should ensure the plan is approved by the MIJB prior to the publication. | <b>Q2 22/23:</b> Action complete. The HSCP workforce Plan was submitted to the Scottish Government on 31st July 2022. | Medium | 31-Oct-2022 | 100%     | Practice Learning & Development Manager |

**2021/22 - Chief Internal Auditor's Annual Assurance Report 2021/22 for Midlothian Health and Social Care Integration Joint Board**

| Action Code       | Audit Recommendation (Risk Rating)   | Notes  | Rating | Due Date    | Progress | Service               |
|-------------------|--|--|--------|-------------|----------|-----------------------|
| IA.IJB2021/22.01a | Management should update its Financial Strategy to address significant deficits indicated in the Medium Term Financial Plan 2021/22 – 2024/25. | <b>Q4 22/23:</b> This action has been superseded by the new agreed action from the recent audit undertaken on Midlothian IJB Medium-Term Financial Planning. | Medium | 31-Mar-2023 | 100%     | Chief Finance Officer |
| IA.IJB2021/22.01b | After updating the Financial Strategy (IA.IJB2021/22.01a), the Medium Term Financial Plan should be refreshed                                  | <b>Q4 22/23:</b> This action has been superseded by the new agreed action from the recent audit undertaken on Midlothian IJB Medium-Term Financial Planning. | Medium | 31-Mar-2023 | 100%     | Chief Finance Officer |

**2022/23 - Midlothian IJB Medium-Term Financial Planning**

| Action Code     | Audit Recommendation (Risk Rating)  | Notes  | Rating | Due Date    | Progress | Service               |
|-----------------|---|--|--------|-------------|----------|-----------------------|
| IA.MIJB-MTFP.03 | The Chief Finance Officer and Chief Officer of the IJB should ensure that the responsibility for the ongoing monitoring of financial recovery plan performance is reported to the Board and a schedule of reporting produced to support this. | <b>Q2 23/24:</b> Complete - the IJB receives routine financial recovery plans performance in its finance update reports. | Medium | 31-Aug-2023 | 100%     | Chief Finance Officer |

| Action Code     | Audit Recommendation (Risk Rating)  | Notes  | Rating | Due Date    | Progress | Service               |
|-----------------|---|--|--------|-------------|----------|-----------------------|
| IA.MIJB-MTFP.04 | The Risk Register for the IJB should be developed further to include an additional risk specific to the Board's financial challenge and its inability to achieve financial balance for the years ahead. The risk should be scored appropriately considering the criticality of the risk and the impact on the delivery of the Board's strategic priorities. | <b>Q2 23/24:</b> Complete - the IJBs strategic risk register includes the financial sustainability as a key risk to the IJB delivery of its strategic plan | Medium | 30-Sep-2023 | 100%     | Chief Finance Officer |