

Notice of meeting and agenda



Audit Committee

Venue: Council Chambers, Midlothian House, Dalkeith, EH22 1DN

Date: Tuesday, 20 September 2016

Time: 11:00

John Blair
Director, Resources

Contact:

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Further Information:

This is a meeting which is open to members of the public.

Audio Recording Notice: Please note that this meeting will be recorded. The recording will be publicly available following the meeting, including publication via the internet. The Council will comply with its statutory obligations under the Data Protection Act 1998 and the Freedom of Information (Scotland) Act 2002.

1 Welcome, Introductions and Apologies

2 Order of Business

Including notice of new business submitted as urgent for consideration at the end of the meeting.

3 Declarations of Interest

Members should declare any financial and non-financial interests they have in the items of business for consideration, identifying the relevant agenda item and the nature of their interest.

4 Minutes of Previous Meeting

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| 4.1 | Minutes of 21 June 2016 submitted for approval | 5 - 10 |
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5 Public Reports

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| 5.1 | Annual Report to Elected Members and the Controller of Audit for the financial year ended 31 March 2016 - Report by External Auditors | 11 - 58 |
| 5.2 | Letter of Representation to Grant Thornton - Financial Statements to 31.03.2016 | 59 - 62 |
| 5.3 | Midlothian Council - Introduction to EY | 63 - 70 |
| 5.4 | Risk Management - Report by Risk Manager (To Follow) | |
| 5.5 | Annual Governance Statement - Report by Chief Executive | 71 - 80 |
| 5.6 | Internal Audit Report - Self-Directed Support - Report by Internal Audit Manager | 81 - 98 |
| 5.7 | Internal Audit Report - Transformation Programme Follow-up Review - Report by Internal Audit Manager | 99 - 114 |
| 5.8 | Internal Audit Report - Review of Controls Operating Over Petty Cash, Follow-up Review - Report by Internal Audit Manager | 115 - 136 |

THE COMMITTEE IS INVITED (A) TO CONSIDER RESOLVING TO DEAL WITH THE UNDERNOTED BUSINESS IN PRIVATE IN TERMS OF PARAGRAPH 1, 6, 9 and OF PART 1 OF SCHEDULE 7A TO THE LOCAL GOVERNMENT (SCOTLAND) ACT 1973 - THE RELEVANT REPORT IS THEREFORE NOT FOR PUBLICATION; AND (B) TO NOTE THAT NOTWITHSTANDING ANY SUCH RESOLUTION, INFORMATION MAY STILL REQUIRE TO BE RELEASED UNDER THE FREEDOM OF INFORMATION (SCOTLAND) ACT 2002 OR THE ENVIRONMENTAL INFORMATION REGULATIONS 2004.

6 Private Reports

6.1 Internal Audit Report - Review of Controls operating over Care at Home - Report by Internal Audit Manager

- 1. Information relating to a particular employee, former employee or applicant to become an employee of, or a particular office holder, former office-holder or applicant to become an office-holder under, the authority.
- 6. Information relating to the financial or business affairs of any particular person (other than the authority).
- 9. Any terms proposed or to be proposed by or to the authority in the course of negotiations for a contract for the acquisition or disposal of property or the supply of goods or services.
- 10. The identity of the authority (as well as of any other person, by virtue of paragraph 6 above) as the person offering any particular tender for a contract for the supply of goods or services.

The next meeting of the Audit Committee will be held on
Tuesday 13 December at 11 am