

# MIJB Internal Audit Recommendations

## Appendix 1 – Outstanding Recommendations

Status: Overdue 6





### 2016 - Financial Assurance and Governance for the Midlothian Integration Joint Board

Action Code	Action	Priority	Due Date	Icon	Progress	Latest Notes	Managed By
IA.IJBGOV.20	Performance reports should include sections on delivery of best value and following the public pound.	High	<u>Original Date</u> 31 Jan 2018  <u>Revised Date</u> 31 Dec 2018		90%	<b>Q2 18/19: Off Target</b> Request has been made to Democratic Services to amend the IJB report template to highlight the need to consider best value. Proposed revised due date 31 December 2018.	Lynsey Henderson; Tom Welsh
IA.IJBGOV.22.2	This would include: Risk Registers; Directions; Performance reporting; Complaint and freedom of information processing; Development of Records Management plans; Business continuity planning; and Development of IJB web site.	High	<u>Original Date</u> 31 May 2018  <u>Revised Date</u> 30 Sept 2019		90%	<b>Q2 18/19: Off Target</b> In all these areas good progress has been made. However, substantial work is required to develop an IJB website; this is not currently a priority for the IJB and using the Council website is meeting the current needs of the IJB. Proposed revised due date 30 September 2019.	Lynsey Henderson; Tom Welsh


### 2016 - Midlothian Integration Joint Board Strategic Planning

Action Code	Action	Priority	Due Date	Icon	Progress	Notes	Managed By
IA.IJBSTRAT.01	The IJB should support the development of the NHS Lothian's Hospitals Strategic Plans and use available consultation opportunities to promote the actions required to deliver the Midlothian IJB Strategic Plan.	Medium	<u>Original Date</u> 31 Jan 2017  <u>Revised Date</u> 31 March 2020		30%	<b>Q2 18/19: Off Target</b> The proposed move towards a regional approach to some health services resulted in a rescheduling of the development of a NHS Hospital Strategic Plan. Whilst there are forums established which enable the contribution of the IJB to the development of the hospital services, consideration is now being given to how this work can be accelerated in collaboration with other Lothian IJBs. Proposed revised due date 31 March 2020.	Lynsey Henderson; Tom Welsh

## 2017 - Annual Governance Statement – Midlothian Integration Joint Board

Action Code	Action	Priority	Due Date	Icon	Progress	Notes	Managed By
IA.AGS1617.01	Updated Code of Corporate Governance - Update the existing Code of Corporate Governance following issue of the new International Framework of Good Governance in the Public Sector.	High	<u>Original Date</u> 31 Mar 2018  <u>Revised Date</u> 31 March 2019		50%	<b>Q2 18/19: Off Target</b> Recent checks have been undertaken in collaboration with Audit to identify any continuing gaps in the IJB Code of Corporate Governance. Through completing this work compliance with this framework should be achieved. Proposed revised due date 31 March 2019.	Tom Welsh
IA.AGS1617.03	Improvement of Financial Planning - Improve financial planning timescales by moving to a 3 year plan rather than the current position of a detailed 1 year plan with indicative values for the next 2 years.	High	<u>Original Date</u> 31 Mar 2018  <u>Revised Date</u> 31 March 2019		75%	<b>Q2 18/19: Off Target</b> IJB 3 Year Financial Strategy was approved in September 2018 and this supports the delivery of the Strategic Plan. The IJB also has a 3 year indicative allocation from both NHS Lothian and Midlothian Council. Proposed revised due date 31 March 2019.	Chief Finance Officer; Tom Welsh

## 2018 - Performance Targets and Reporting – IJBs

Action Code	Action	Priority	Due Date	Icon	Progress	Notes	Managed By
IA.IJBPTR.03	The IJB chief officer will agree with the IJB board what the key high level plans are. For each of these plans, the chief officer will ensure that all key actions are phrased as SMART objectives. These objectives will then be approved by the IJB board.	High	<u>Original Date</u> 31 Jul 2018  <u>Revised Date</u> 31 March 2019		60%	<b>Q2 18/19: Off Target</b> The Strategic Plan 2019-22 and associated client groups specific action plans are in development and will be presented for approval to the Strategic Planning Group and the IJB by March 2019. The current 2018-19 transformation programme has already been reported to and approved by the IJB. Proposed revised due date 31 March 2019.	Tom Welsh